Lower bounds, December 08, 2017.

# 1 Parametrized problems and FPT Algorithms

Flum and Grohe, Parametrized Complexity Theory.

## 1.1 Preliminaries

**Definition 1.** • A parametrisation is a ptime computable function  $k : \Sigma^* \to \mathbb{N}$ .

• A parametrised problem is a pair (L, k) of  $L \subseteq \Sigma^*$  and k a parametrisation.

**Example 1.** • SAT and the number of variables

- Clique (G, k) and k
- SAT and the number of variable per clauses...

**Definition 2.** • FPT-time algorithm wrt k is an algorithm that decides on input x if  $x \in L$  and runs in time f(k(x))poly(|x|) for a computable function f.

• (L,k) is fixed parameter tractable *(FPT)* if there exists an FPT-time algorithm wrt k for (L,k)

# Example 2.

SAT and number of variables co-example : colorability

## 1.2 Example : vertex covers

**Definition 3.** Let G = (V, E) be a graph. A vertex cover is a set  $S \subseteq V$  such that for every  $e \in E$ ,  $S \cap e \neq \emptyset$ .

Deciding given G and k if there exists a vertex cover of size  $\leq k$  if a well-known NP-complete problem. However, it is FPT in k:

- If |E| = 0, return true.
- If k = 0, return false.
- Otherwise, choose  $e = \{u, v\} \in E$ . Return  $VC(G \setminus \{u\}, k-1) \lor VC(G \setminus \{v\}, k-1)$ .

At most  $2^k$  calls of the function. And each call is polynomial in |G|.

Independent sets. Can we use this idea to detect independent sets in FPT time?

**Definition 4.** Let G = (V, E) be a graph. An independent set is a set  $S \subseteq V$  such that for every  $u, v \in S$ ,  $\{u, v\} \notin E$ .

**Lemma 5.** Let G = (V, E) be a graph and  $S \subseteq V$ . S is a vertex cover iff  $V \setminus S$  is an independent set.

Previous algorithm gives an algorithm with runtime  $2^{n-k}$  for Independent Sets. It is not FPT. But IS parametrized by n-k is FPT.

# 2 Treewidth

Give intuition: how to measure the "distance between a graph and a tree".

# 2.1 Definition and examples

**Definition 6.** A tree decomposition of a graph G = (V, E) is a tree T and a labelling  $B_t \subseteq V$  for every  $t \in V(T)$  such that:

- for every  $x \in V$ ,  $\{t \mid x \in B_t\}$  is connected,
- for every  $e \in E$ , there exists t such that  $e \subseteq B_t$ .

### 2.2 Treewidth of well-known graphs

#### Treewidth of trees.

**Theorem 7.** A graph is a forest if and only if it has treewidth 1.

Proof of the other way relies on:

**Lemma 8.** If  $H \subseteq G$  then  $tw(H) \leq tw(G)$ .

*Proof.* Remove vertices of V(G) from a decomposition of G.

**Treewidth of cycle.** Cycle have treewidth 2.

**Treewidth of clique.**  $K_k$  is of treewidth k-1.

Project examples. Proof of the lower bounds:

**Lemma 9.** Let G be a graph and d be the minimal degree of its vertices. Then  $tw(G) \ge d$ .

Proof. Let T be a tree decomposition of G of treewidth k. We claim that there exists a vertex  $v \in V$  of degree k. Indeed, let t be a leaf of T with father t'. If  $B_t \subseteq B_{t'}$  then we can remove t from T and still have a tree decomposition of G of treewidth k. Do this until you cannot any more to compute a new tree decomposition T' of G of treewidth k. Now let t be a leaf of T' and father t'. By definition, there exists  $x \in B_t \setminus B_{t'}$ . Since t is a leaf, x only appear in  $B_t$  by connectivity. Thus, every edge  $\{x, y\} \subseteq B_t$ , ie the degree of x is  $\leq k$ .

### Treewidth of grids.

**Theorem 10.** Let G be a  $n \times m$  grid with  $n \leq m$ . Then  $tw(G) \leq m$ . And  $tw(G) \geq m/3$ .

# **3** Formulas of bounded treewidth

### 3.1 Graphs and formulas

Primal/Incidence graphs. On slides.

## 3.2 Primal treewidth

Solve #SAT for bounded primal treewidth.

**Theorem 11.** #SAT parametrised by ptw can be solved in FPT time. More precisely, we can count the number of solution of F in time  $2^{O(k)} \cdot poly(|F|)$  where k = ptw(F).

*Proof.* Start from a tree decomposition T of the primal graph of F, root it in a node r. The bags of T are denoted by  $B_t$ . Remember that  $|B_t| \le k + 1$ .

Given  $t \in T$ , define  $T_t$  to be the tree rooted in t,  $V_t$  to be the variables of F appearing in  $T_t$  and  $F_t$  to be CNF formula whose clauses are clauses C of F such that  $var(C) \subseteq V_t$ . Observe that  $F_r = F$ .

We will compute #F by dynamic programming. For every t and  $\tau : B_t \to \{0, 1\}$ , we will compute  $\#F_t[\tau]$ . Observe that there is  $|T| \cdot 2^{k+1}$  such values to compute.

We now explain how the dynamic programming works. If t is a leaf of the tree, then  $\tau: B_t \to \{0, 1\}$  assigns all variables of  $F_t$ . Thus  $\#F_t[\tau]$  is either 0 or 1.

Now let t be a vertex of t and  $t_1, t_2$  its children. Observe that  $V_{t_1} \cap V_{t_2} \subseteq B_t$ . We thus have  $\#F_t[\tau] = \#F_{t_1}[\tau_1] \cdot \#F_{t_2}[\tau_2]$  where  $\tau_1 = \tau|_{V_1}$  and  $\tau_2 = \tau|_{V_2}$ .

We conclude by observing that  $\#F[\tau_1] = \sum_{\mu:B_{t_1}\setminus B_t \to \{0,1\}} \#\tilde{F}_1[\tau_1 \cup \mu]$  (symmetrically for  $t_2$ ) which all have been precomputed.

Change the proof to construct a d-DNNF:

- $F_t[\tau] = F_{t_1}[\tau_1] \wedge F_{t_2}[\tau_2]$  and this  $\wedge$  is decomposable,
- $F[\tau_1] = \bigvee_{\mu:B_t \setminus B_t \to \{0,1\}} F_1[\tau_1 \cup \mu]$  and this  $\vee$  is deterministic.

We can actually construct a dec-DNNF from this. Add decision tree for

$$\mu: B_{t_1} \setminus B_t \to \{0, 1\}.$$

Relation with c2d and d4.

- 3-splitting: syntactic decompositions (what Pierre was presenting)
- c2d starts from a tree decomposition of the formula and compile it (not exactly the same algorithm).

## 3.3 Incidence treewidth

Compile formulas of bounded incidence treewidth toward d-DNNF.

**Theorem 12.** Given F of itw k, we can construct in FPT time a d-DNNF of size  $2^{O(k)} \cdot |F|$ .

*Proof.* Start from a tree decomposition T of the primal graph of F, root it in a node r. The bags of T are denoted by  $B_t$ . Remember that  $|B_t| \leq k + 1$ . We denote by  $var(B_t) = var(F) \cap B_t$  and  $cla(B_t) = F \cap B_t$ .

Given  $t \in T$ , define  $T_t$  to be the tree rooted in t,  $V_t$  to be the variables of F appearing in  $T_t$  and  $F_t$  to be CNF formula whose clauses are clauses of F appearing in  $T_t$ .

We will compute our d-DNNF for F by dynamic programming. For every t and  $\tau : var(B_t) \to \{0, 1\}$  and  $C \subseteq cla(F_t)$ , we will compute a d-DNNF with a gate computing  $(F_t \setminus C)[\tau] \land \bigwedge_{C \in \mathcal{C}} \neg C$ .

# 4 Toward more general parameters

Slide with the parameters zoo!