### Top-Down Knowledge Compilation

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### SAT Solving Introduction DP DPLL Boolean Constraint Propagation (BCP) Heuristics CDCL

From SAT Solving to Top-Down Knowledge Compilation

Heuristics for Decomposition

Research School on Knowledge Compilation, ENS Lyon, December 4<sup>th</sup>-8<sup>th</sup>, 2017

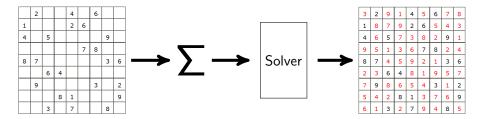
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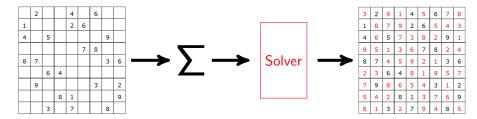
# Contraint Programming



Constraint programming: two steps

- modeling the problem with a set of constraints  $\Sigma$ 
  - $\Rightarrow$  constraints representation with a dedicated formalism: SAT, CSP, PSEUDO, ...
- solving the problem
  - $\Rightarrow$  using a constraint-based solver to find a solution

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$$\Sigma = (\neg a \lor \neg b \lor \neg c) \land (a \lor c) \land (a \lor c) \land (a \lor b) \land (\neg b \lor \neg c)$$

- Propositional variables: a, b, c
- ▶ Literals: a, ¬a
- Clauses:  $a \lor \neg b$  (the constraints)
- CNFformula: Σ
- SAT problem: does there exist an interpretation *I* of the variables that satisfies the formula Σ?

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$$\land (a \lor c)$$
  
 
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- Try all the possibility: illusory!

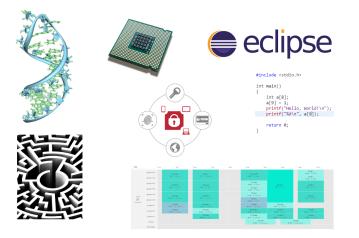
Number of instructions	Time needed
$2^3 = 8$	immediate
$2^{37} = 80  imes 10^9$	1 second
$2^{56} = 8  imes 10^{16}$	pprox 277 hours
$2^{60} = 10^{18}$	166 days
$2^{128} = 340  imes 10^{38}$	$\geq$ 3 billion of years

### The Power of SAT

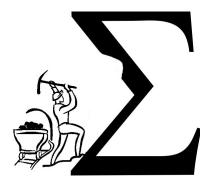
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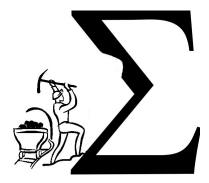
- SAT is NP-complete
- ► Each problem in NP can be reduced in polynomial time to SAT



- Complete methods
  - ► DP algorithm
  - DPLL algorithm
  - CDCL SAT solver
  - ► ..
- Incomplete methods
  - genetic algorithms
  - ant colony algorithms
  - local search (RL)
  - ▶ ...



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### Resolution

► Two clauses that contain a variable x in opposite phases (polarities) imply a new clause that contains all literals except x and ¬x

$$\frac{x \lor y \lor \neg z \otimes \neg x \lor t \lor u}{y \lor \neg z \lor t \lor u}$$

- Why is this true?
- Making all the resolutions on a variable x in Σ is a way to forget it:

$$\exists x.\Sigma \equiv (\Sigma|x) \lor (\Sigma|\neg x)$$

Yields a complete proof system for unsatisfiability of CNFs

- Iteratively select a variable x to perform resolution on
  - Consider the resolvents and the ones not containing x
  - Termination:
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$$\Sigma = \Psi \cup \{ x \lor \alpha_1, x \lor \alpha_2, \dots, x \lor \alpha_{n_x}, \neg x \lor \beta_1, \neg x \lor \beta_2, \dots, \neg x \lor \beta_{n_{\neg x}} \}$$
$$= \Psi \cup \{ x \lor (\alpha_1 \land \alpha_2 \land \dots \land \alpha_{n_x}), \neg x \lor (\beta_1 \land \beta_2 \land \dots \land \beta_{n_{\neg x}}) \}$$

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The truth value of x does not care, so satisfying Σ is equivalent to satisfy:

$$\Sigma' = \Psi \cup \{ (\alpha_1 \land \alpha_2 \land \ldots \land \alpha_{n_x}) \lor (\beta_1 \land \beta_2 \land \ldots \land \beta_{n_{\neg x}}) \}$$
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#### Can generate an exponential number of clauses!

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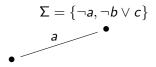
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- Stop when a satisfying assignment is found or all possibilities have been tried

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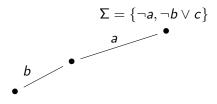
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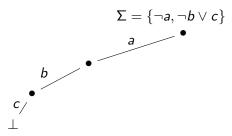
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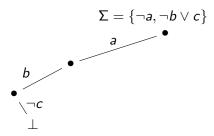
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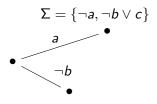
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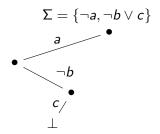
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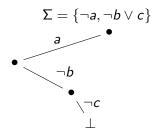
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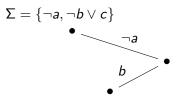
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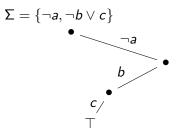
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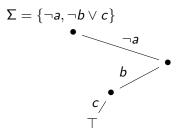


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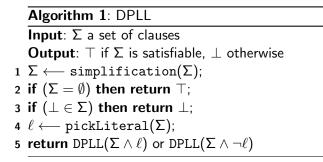
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Possible optimizations:

- Skip branches where no satisfying assignments can occur
- Organize the search to maximize the amount of the search space that can be skipped

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- pickLiteral: select some variable and assign it a value
- simplification: simplify the formula using syntactic rules (unit propagation a.k.a. boolean constraint propagation (BCP))

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### Boolean Constraint Propagation (BCP)

- ► A clause of size 1 is called unit clause
- The literal belonging to a unit clause is called unit literal
- The unit propagation process is the simplification rule which is used in every DPLL-based SAT solver
- Applying the rule consists in recursively assigning the unit literals and then simplifying the formula until a fixed point is reached

- In practice, most of the affectations result from the unit propagation process (more than 90%)
- This explains why a lot of efforts has been devoted to improve this process (watched literals)

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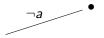
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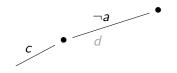
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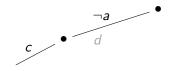
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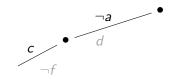
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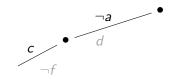


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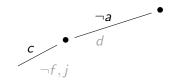
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 $\begin{array}{lll} \alpha_{1}: \textbf{a} \lor d & \alpha_{2}: \textbf{a} \lor \neg \textbf{c} \lor \neg f & \alpha_{3}: \neg \textbf{d} \lor j \lor f \\ \alpha_{4}: \textbf{b} \lor h & \alpha_{5}: \neg \textbf{c} \lor \neg \textbf{e} \lor i & \alpha_{6}: \neg i \lor \neg j \lor \neg g \\ \alpha_{7}: \textbf{e} \lor \neg \textbf{k} & \alpha_{8}: \textbf{e} \lor \neg \textbf{h} \lor \textbf{k} & \alpha_{9}: \neg \textbf{c} \lor \neg \textbf{e} \lor \neg i \lor g \end{array}$ 



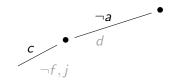
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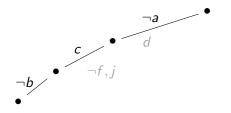
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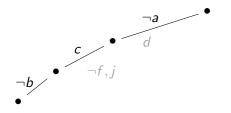
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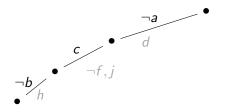
Research School on Knowledge Compilation, ENS Lyon, December 4th-8th, 2017

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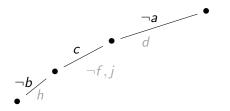
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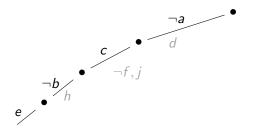


Research School on Knowledge Compilation, ENS Lyon, December 4th-8th, 2017

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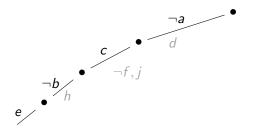


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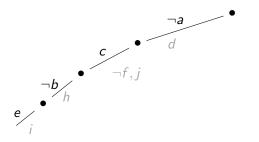
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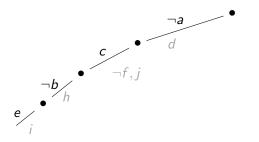


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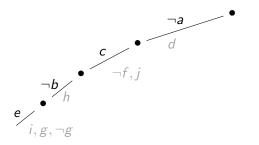
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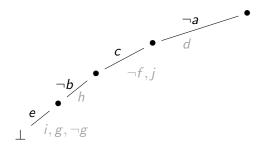
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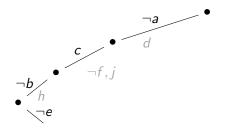


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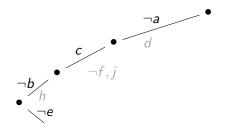


Research School on Knowledge Compilation, ENS Lyon, December 4th-8th, 2017

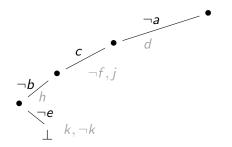
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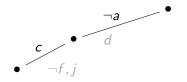


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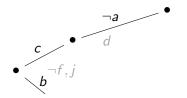
Research School on Knowledge Compilation, ENS Lyon, December 4th-8th, 2017

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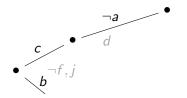


Research School on Knowledge Compilation, ENS Lyon, December 4th-8th, 2017

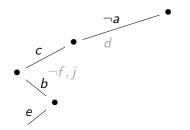
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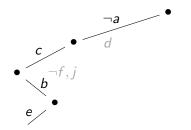
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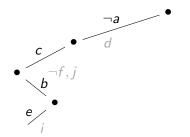


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Research School on Knowledge Compilation, ENS Lyon, December 4th-8th, 2017

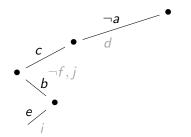
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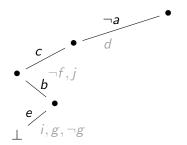
Research School on Knowledge Compilation, ENS Lyon, December 4th-8th, 2017

16/127

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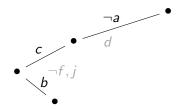
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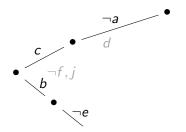
Research School on Knowledge Compilation, ENS Lyon, December 4th-8th, 2017

16/127

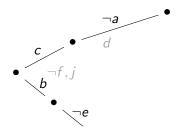
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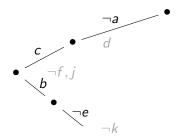
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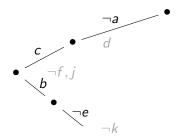
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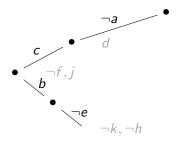
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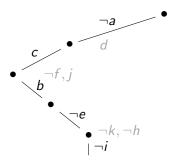
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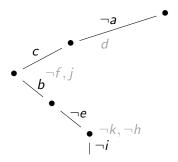
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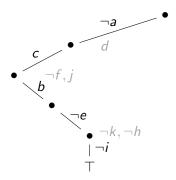
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16/127

### SAT Solving Introduction DP DPLL Boolean Constraint Propagation (BCP) Heuristics CDCL

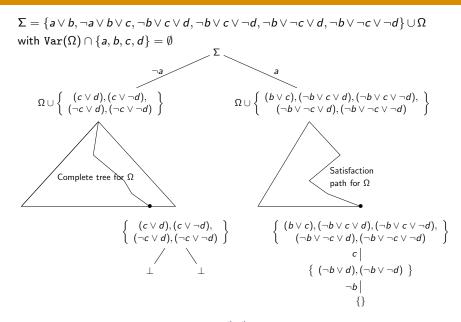
From SAT Solving to Top-Down Knowledge Compilation

Heuristics for Decomposition

# Trashing

$$\begin{split} \Sigma &= \{a \lor b, \neg a \lor b \lor c, \neg b \lor c \lor d, \neg b \lor c \lor \neg d, \neg b \lor \neg c \lor d, \neg b \lor \neg c \lor \neg d\} \cup \Omega \\ \text{with } \mathtt{Var}(\Omega) \cap \{a, b, c, d\} &= \emptyset \end{split}$$

### Trashing



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- Choosing the next variable to assign and its first polarity is a decisive step
- Its impact on the size of the search tree explored (so on the CPU time to explore it) is huge
- However, choosing the variables that minimize the size of the search tree is hard (NP-hard)
- Several branching heuristics have been pointed out
- Three families:
  - syntactic approaches
  - look-ahead approaches
  - look-back approaches

<u>Aim</u>: choosing a variable that produces a **maximum of unit propagation** or that **satisfies a maximum number of clauses** 

▶ BOHM selects a variable that maximizes, w.r.t. the lexicographic order, the vector (H<sub>1</sub>(x), H<sub>2</sub>(x), ..., H<sub>n</sub>(x)) with: H<sub>i</sub>(x) = 1 × max(h<sub>i</sub>(x), h<sub>i</sub>(¬x)) + 2 × min(h<sub>i</sub>(x), h<sub>i</sub>(¬x)) where h<sub>i</sub>(x) is the number of clauses of size *i* containing x

- ► MOMS selects a variable with a Maximum number of Occurrences in Minimum Size Clauses MOMS(x, k) = max<sub>k</sub>((f<sup>k</sup>(x) + f<sup>k</sup>(¬x)) × 2<sup>k</sup> + f<sup>k</sup>(x) × f<sup>k</sup>(¬x)) with f<sup>k</sup>(x) is the number of unsatisfied clauses of size ≤ k containing x
- Jw is based on a similar idea as момз

$$J(\ell) = \sum_{\alpha \in \Sigma \mid \ell \in \alpha} 2^{-|\alpha|}$$

JW-OS maximizes  $J(\ell)$  and JW-TS maximizes  $J(x) + J(\neg x)$ 

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# Look-Ahead Branching Heuristics

<u>Aim</u>: **anticipate** the effect of affecting a variable. Such approaches leads to a "local" breadth-first exploration of the search tree

- BCP uses the unit propagation process to decide the next variable to assign. The variable that maximizes the number of unit literals is selected first
- ▶ BSH is a Backbone Search Heuristic. A variable x that maximizes score(k, x) = bsh(k, x) × bsh(k, ¬x) is selected first

**Algorithm 2**:  $bsh(i : int, \ell : literal)$ 

$$\begin{split} \mathcal{B}(\ell) &\leftarrow \{\alpha_1, \dots, \alpha_n\} \subseteq \Sigma \text{ s.t. } \forall \alpha, \ |\alpha| \leq 3 \text{ and } \ell \in \alpha; \\ \text{if } i = 1 \text{ then} \\ | \text{ return } \sum_{(u \lor v) \in \mathcal{B}(\ell)} (2 \times bin(\neg u) + ter(\neg u)) \times (2 \times bin(\neg v) + ter(\neg v)) \\ \text{else} \\ | \text{ return } \sum_{(u \lor v) \in \mathcal{B}(\ell)} bsh(i - 1, \neg u) \times bsh(i - 1, \neg v); \\ \text{end} \end{split}$$

<u>Aim</u>: **keeping information** from a long phase of search and deduction to **avoid the repetition of the same mistakes** in the future (*nogoods* or variable activity)

- The weighting of the conflict clauses is based on the following observation: when a clause has been proved unsatisfiable it is important to exploit this piece of information for the rest of the search. To do so, it is enough to increase the weight of the variables that conducted to unsatisfiability
- vsids associates a counter, called activity, with each variable.
   When a conflict occurs, the activity of variables that are responsible of this failure are bumped

# **Polarity Heuristics**

- When a variable is selected to be assigned a truth value must be chosen. This choice is at least as important as the choice of the variable itself
- Deciding the best way to assign a variable is NP-hard, so heuristics must be used:
  - false always assigns to false (used in MINISAT)
  - JW selects the phase of the variable that maximizes the jw function
  - ▶ occurrence tries to maximize the number of satisfied clauses. The weight of ℓ is given by the number of its occurrences
  - progress saving tries to avoid solving several times the same part of the instance. To do so, when a variable is assigned during the search, its phase is saved. Then, when a variable has to be assigned again, its phase is chosen as previously

### SAT Solving Introduction DP DPLL Boolean Constraint Propagation (BCP) Heuristics CDCL

Conflict analysis Watched Literals Restarts Reducing the Learnt Clauses Database CDCL algorithm In practice ...

### From SAT Solving to Top-Down Knowledge Compilation

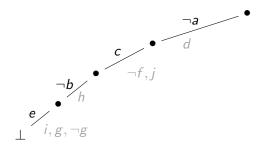
### Heuristics for Decomposition

### • Extend DPLL SAT solver with:

- Clause learning and non-chronological backtracking
  - Exploit UIPs
  - Minimize learned clauses
  - Opportunistically delete clauses
- Can restart the current search
- ► Lazy data structures
  - Watched literals
- Conflict-guiding branching
  - Lightweight branching heuristics
  - Phase saving

### A Motivating Example

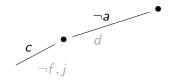
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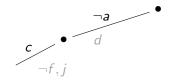
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$$\neg e \lor \neg i \lor g \otimes \neg i \lor \neg g = \neg e \lor \neg i$$
$$\neg e \lor \neg i \otimes \neg e \lor i = \neg e$$

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### CDCL SAT Solver Ingredients

### Assignment, BCP

- heuristic to choose the next variable to assign
- heuristic to choose its polarity

► BCP

$$\boldsymbol{\Sigma} = \{ \boldsymbol{\alpha}_1 : \boldsymbol{a} \lor \boldsymbol{d} \} \qquad \qquad \boldsymbol{\neg} \boldsymbol{a} \xrightarrow{} \left( \boldsymbol{d}_{\boldsymbol{\alpha}_1} \right)$$

- Conflict analysis and learning
  - implication graph
  - ▶ learning
  - back-jumping

### Constructing and analyzing an implication graph

$$\begin{array}{lll} \alpha_{1}: a \lor d & \alpha_{2}: a \lor \neg c \lor \neg f & \alpha_{3}: \neg d \lor j \lor f \\ \alpha_{4}: b \lor h & \alpha_{5}: \neg c \lor \neg e \lor i & \alpha_{6}: \neg i \lor \neg j \lor \neg g \\ \alpha_{7}: e \lor \neg k & \alpha_{8}: e \lor \neg h \lor k & \alpha_{9}: \neg c \lor \neg e \lor \neg i \lor g \end{array}$$

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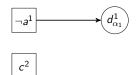
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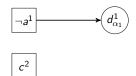
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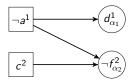
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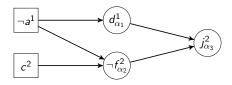
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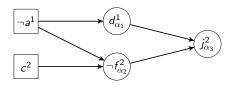
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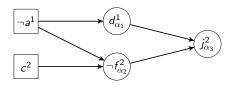


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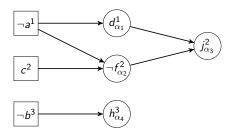


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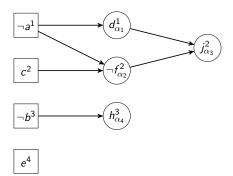




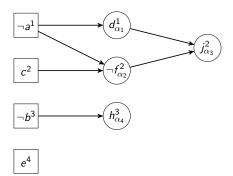
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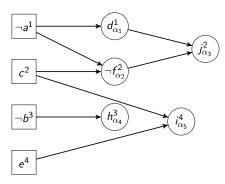
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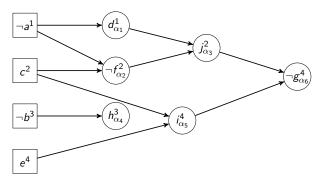
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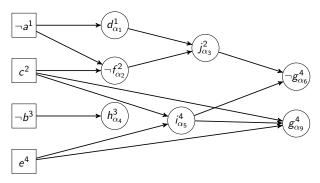
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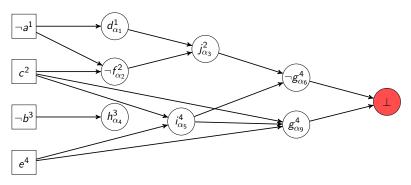
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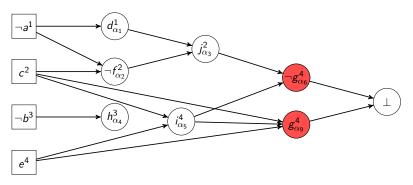
$$\begin{array}{lll} \alpha_1 : \textbf{a} \lor d & \alpha_2 : \textbf{a} \lor \neg \textbf{c} \lor \neg f & \alpha_3 : \neg \textbf{d} \lor j \lor f \\ \alpha_4 : \textbf{b} \lor h & \alpha_5 : \neg \textbf{c} \lor \neg \textbf{e} \lor i & \alpha_6 : \neg i \lor \neg j \lor \neg g \\ \alpha_7 : \textbf{e} \lor \neg \textbf{k} & \alpha_8 : \textbf{e} \lor \neg \textbf{h} \lor \textbf{k} & \alpha_9 : \neg \textbf{c} \lor \neg \textbf{e} \lor \neg i \lor g \end{array}$$



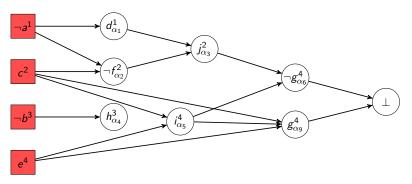
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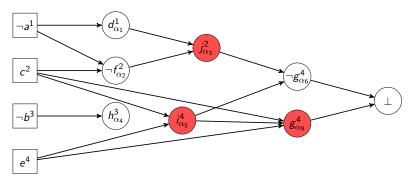
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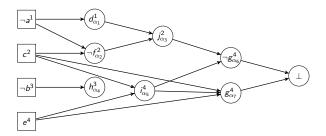
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$$\begin{array}{lll} \alpha_1 : \textbf{a} \lor d & \alpha_2 : \textbf{a} \lor \neg \textbf{c} \lor \neg f & \alpha_3 : \neg \textbf{d} \lor j \lor f \\ \alpha_4 : \textbf{b} \lor h & \alpha_5 : \neg \textbf{c} \lor \neg \textbf{e} \lor i & \alpha_6 : \neg i \lor \neg j \lor \neg g \\ \alpha_7 : \textbf{e} \lor \neg \textbf{k} & \alpha_8 : \textbf{e} \lor \neg \textbf{h} \lor \textbf{k} & \alpha_9 : \neg \textbf{c} \lor \neg \textbf{e} \lor \neg i \lor g \end{array}$$

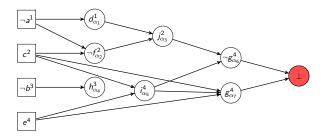


$$\begin{array}{ll} \alpha_1 : \mathbf{a} \lor d & \alpha_2 : \mathbf{a} \lor \neg \mathbf{c} \lor \neg f & \alpha_3 : \neg \mathbf{d} \lor \mathbf{j} \lor f \\ \alpha_4 : \mathbf{b} \lor h & \alpha_5 : \neg \mathbf{c} \lor \neg \mathbf{e} \lor i & \alpha_6 : \neg \mathbf{i} \lor \neg \mathbf{j} \lor \neg g \\ \alpha_7 : \mathbf{e} \lor \neg \mathbf{k} & \alpha_8 : \mathbf{e} \lor \neg \mathbf{h} \lor \mathbf{k} & \alpha_9 : \neg \mathbf{c} \lor \neg \mathbf{e} \lor \neg \mathbf{i} \lor g \end{array}$$

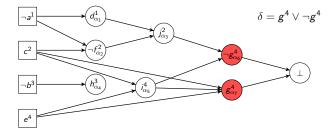


Research School on Knowledge Compilation, ENS Lyon, December 4<sup>th</sup>-8<sup>th</sup>, 2017

$$\begin{array}{ll} \alpha_1 : \mathbf{a} \lor d & \alpha_2 : \mathbf{a} \lor \neg \mathbf{c} \lor \neg f & \alpha_3 : \neg \mathbf{d} \lor \mathbf{j} \lor f \\ \alpha_4 : \mathbf{b} \lor h & \alpha_5 : \neg \mathbf{c} \lor \neg \mathbf{e} \lor i & \alpha_6 : \neg \mathbf{i} \lor \neg \mathbf{j} \lor \neg g \\ \alpha_7 : \mathbf{e} \lor \neg \mathbf{k} & \alpha_8 : \mathbf{e} \lor \neg \mathbf{h} \lor \mathbf{k} & \alpha_9 : \neg \mathbf{c} \lor \neg \mathbf{e} \lor \neg \mathbf{i} \lor g \end{array}$$

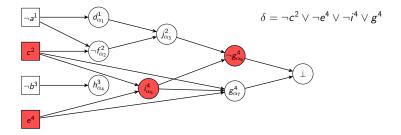


$$\begin{array}{lll} \alpha_1 : \mathbf{a} \lor d & \alpha_2 : \mathbf{a} \lor \neg \mathbf{c} \lor \neg f & \alpha_3 : \neg \mathbf{d} \lor \mathbf{j} \lor f \\ \alpha_4 : \mathbf{b} \lor h & \alpha_5 : \neg \mathbf{c} \lor \neg \mathbf{e} \lor i & \alpha_6 : \neg i \lor \neg \mathbf{j} \lor \neg g \\ \alpha_7 : \mathbf{e} \lor \neg \mathbf{k} & \alpha_8 : \mathbf{e} \lor \neg \mathbf{h} \lor \mathbf{k} & \alpha_9 : \neg \mathbf{c} \lor \neg \mathbf{e} \lor \neg i \lor g \end{array}$$



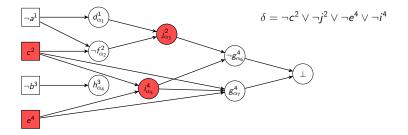
Research School on Knowledge Compilation, ENS Lyon, December 4<sup>th</sup>-8<sup>th</sup>, 2017

$$\begin{array}{lll} \alpha_1 : \mathbf{a} \lor d & \alpha_2 : \mathbf{a} \lor \neg \mathbf{c} \lor \neg \mathbf{f} & \alpha_3 : \neg \mathbf{d} \lor \mathbf{j} \lor \mathbf{f} \\ \alpha_4 : \mathbf{b} \lor h & \alpha_5 : \neg \mathbf{c} \lor \neg \mathbf{e} \lor \mathbf{i} & \alpha_6 : \neg \mathbf{i} \lor \neg \mathbf{j} \lor \neg \mathbf{g} \\ \alpha_7 : \mathbf{e} \lor \neg \mathbf{k} & \alpha_8 : \mathbf{e} \lor \neg \mathbf{h} \lor \mathbf{k} & \alpha_9 : \neg \mathbf{c} \lor \neg \mathbf{e} \lor \neg \mathbf{i} \lor \mathbf{g} \end{array}$$

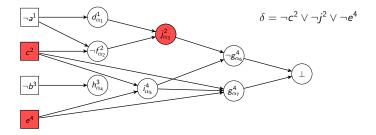


Research School on Knowledge Compilation, ENS Lyon, December 4<sup>th</sup>-8<sup>th</sup>, 2017

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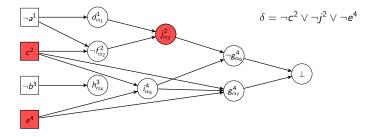


$$\begin{array}{lll} \alpha_1 : \mathbf{a} \lor d & \alpha_2 : \mathbf{a} \lor \neg \mathbf{c} \lor \neg f & \alpha_3 : \neg \mathbf{d} \lor \mathbf{j} \lor f \\ \alpha_4 : \mathbf{b} \lor h & \alpha_5 : \neg \mathbf{c} \lor \neg \mathbf{e} \lor i & \alpha_6 : \neg \mathbf{i} \lor \neg \mathbf{j} \lor \neg g \\ \alpha_7 : \mathbf{e} \lor \neg \mathbf{k} & \alpha_8 : \mathbf{e} \lor \neg \mathbf{h} \lor \mathbf{k} & \alpha_9 : \neg \mathbf{c} \lor \neg \mathbf{e} \lor \neg \mathbf{i} \lor g \end{array}$$



Research School on Knowledge Compilation, ENS Lyon, December 4<sup>th</sup>-8<sup>th</sup>, 2017

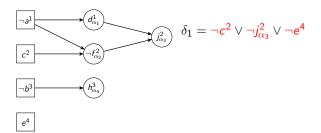
$$\begin{array}{lll} \alpha_1 : \textbf{a} \lor d & \alpha_2 : \textbf{a} \lor \neg \textbf{c} \lor \neg f & \alpha_3 : \neg \textbf{d} \lor j \lor f \\ \alpha_4 : \textbf{b} \lor h & \alpha_5 : \neg \textbf{c} \lor \neg \textbf{e} \lor i & \alpha_6 : \neg i \lor \neg j \lor \neg g \\ \alpha_7 : \textbf{e} \lor \neg \textbf{k} & \alpha_8 : \textbf{e} \lor \neg \textbf{h} \lor \textbf{k} & \alpha_9 : \neg \textbf{c} \lor \neg \textbf{e} \lor \neg i \lor g \end{array}$$



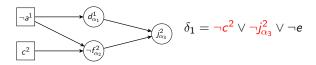
- Stops as soon as the resolvent has a unique literal from the last decision level (FUIP)
- $\delta$  is added to the CNF(this ensures the completeness of the search)

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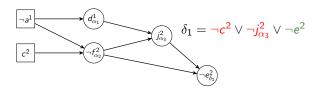
 $\begin{array}{lll} \alpha_1 : \textit{a} \lor \textit{d} & \alpha_2 : \textit{a} \lor \neg \textit{c} \lor \neg \textit{f} & \alpha_3 : \neg \textit{d} \lor \textit{j} \lor \textit{f} \\ \alpha_4 : \textit{b} \lor \textit{h} & \alpha_5 : \neg \textit{c} \lor \neg \textit{e} \lor \textit{i} & \alpha_6 : \neg \textit{i} \lor \neg \textit{j} \lor \neg \textit{g} \\ \alpha_7 : \textit{e} \lor \neg \textit{k} & \alpha_8 : \textit{e} \lor \neg \textit{h} \lor \textit{k} & \alpha_9 : \neg \textit{c} \lor \neg \textit{e} \lor \neg \textit{i} \lor \textit{g} \end{array}$ 



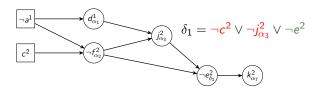
 $\begin{array}{lll} \alpha_1 : \textbf{a} \lor d & \alpha_2 : \textbf{a} \lor \neg \textbf{c} \lor \neg f & \alpha_3 : \neg \textbf{d} \lor j \lor f \\ \alpha_4 : \textbf{b} \lor h & \alpha_5 : \neg \textbf{c} \lor \neg \textbf{e} \lor i & \alpha_6 : \neg i \lor \neg j \lor \neg g \\ \alpha_7 : \textbf{e} \lor \neg \textbf{k} & \alpha_8 : \textbf{e} \lor \neg \textbf{h} \lor \textbf{k} & \alpha_9 : \neg \textbf{c} \lor \neg \textbf{e} \lor \neg i \lor g \end{array}$ 



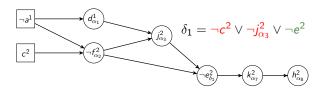
 $\begin{array}{lll} \alpha_1 : \textbf{a} \lor d & \alpha_2 : \textbf{a} \lor \neg \textbf{c} \lor \neg f & \alpha_3 : \neg \textbf{d} \lor j \lor f \\ \alpha_4 : \textbf{b} \lor h & \alpha_5 : \neg \textbf{c} \lor \neg \textbf{e} \lor i & \alpha_6 : \neg i \lor \neg j \lor \neg g \\ \alpha_7 : \textbf{e} \lor \neg \textbf{k} & \alpha_8 : \textbf{e} \lor \neg \textbf{h} \lor \textbf{k} & \alpha_9 : \neg \textbf{c} \lor \neg \textbf{e} \lor \neg i \lor g \end{array}$ 



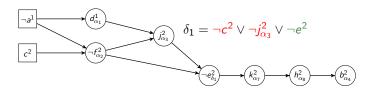
 $\begin{array}{lll} \alpha_1 : \textbf{a} \lor d & \alpha_2 : \textbf{a} \lor \neg \textbf{c} \lor \neg f & \alpha_3 : \neg \textbf{d} \lor j \lor f \\ \alpha_4 : \textbf{b} \lor \textbf{h} & \alpha_5 : \neg \textbf{c} \lor \neg \textbf{e} \lor i & \alpha_6 : \neg i \lor \neg j \lor \neg g \\ \alpha_7 : \textbf{e} \lor \neg \textbf{k} & \alpha_8 : \textbf{e} \lor \neg \textbf{h} \lor \textbf{k} & \alpha_9 : \neg \textbf{c} \lor \neg \textbf{e} \lor \neg i \lor g \end{array}$ 



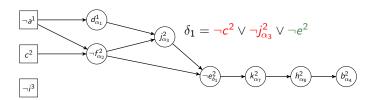
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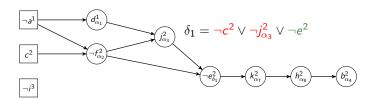
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### SATISFIABILITY PROVED

- BCP is triggered when all but one literal in a clause is assigned to false
- Idea: when two variables are either unassigned or one is assigned to true, no need to do anything
- Checking whether this condition is satisfied is enough

$$\alpha_1: \neg a \lor b \lor c \quad \alpha_2: \neg a \lor \neg c \lor \neg b \quad \alpha_3: \neg a \lor c \lor \neg b$$

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 $\alpha_1 : \neg a \lor b \lor c \quad \alpha_2 : \neg a \lor \neg c \lor \neg b \quad \alpha_3 : \neg a \lor c \lor \neg b$ 

- Mapping between watched literals and the clauses containing them
- When ℓ is propagated to true it is enough to consider the clauses mapped to ¬ℓ and to search for another watched literal

• Let us suppose that a is assigned to true 
$$b: \{\alpha_1\}$$

$$\neg c: \{\alpha_2\}$$

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$$a: \{\}$$
 $b: \{\alpha_1\}$  $c: \{\alpha_3\}$  $\neg a: \{\alpha_1, \alpha_3\}$  $\neg b: \{\alpha_2\}$  $\neg c: \{\alpha_2\}$ 

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 $\alpha_1: \neg a \lor b \lor c \quad \alpha_2: \neg a \lor \neg c \lor \neg b \quad \alpha_3: \neg a \lor c \lor \neg b$ 

- Mapping between watched literals and the clauses containing them
- When ℓ is propagated to true it is enough to consider the clauses mapped to ¬ℓ and to search for another watched literal
- Let us suppose that a is assigned to true

$$a: \{\}$$
 $b: \{\alpha_1\}$  $c: \{\alpha_3, \alpha_1\}$  $\neg a: \{\alpha_1, \alpha_3\}$  $\neg b: \{\alpha_2\}$  $\neg c: \{\alpha_2\}$ 

## Watched Literals

- BCP is triggered when all but one literal in a clause is assigned to false
- Idea: when two variables are either unassigned or one is assigned to true, no need to do anything
- Checking whether this condition is satisfied is enough

 $\alpha_1: \neg a \lor b \lor c \quad \alpha_2: \neg a \lor \neg c \lor \neg b \quad \alpha_3: \neg a \lor c \lor \neg b$ 

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# Heavy-Tailed Phenomenon



- Depth-first search procedures often exhibit a remarkable variability in the time required to solve the instance
- Heavy-tailed behavior arises from the fact that wrong branching decisions may lead to explore an exponentially large subtree that contains no solutions
- Restarts is a good mechanism for avoiding such an issue

### Restarts

- Often it a good strategy to abandon what you do and restart
  - for satisfiable instances the solver may get stuck in a part of the search space with no solutions
  - for unsatisfiable instances focusing on one part might miss short proofs
  - $\Rightarrow\,$  restart the solver once the number of conflicts has reached a given limit
- Avoid to run into the same dead end
  - by randomization (either on the decision variable or its phase)
  - and/or just keep all the learned clauses
- For completeness the limit must be increased dynamically
  - ► arithmetically, geometrically, Luby, Inner/Outer, Glucose restart

- CDCL SAT solvers learn clauses at each conflict
- Keeping all these clauses can slow down the BCP process

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- ► "Useless" learnt clauses are periodically deleted (t<sub>0</sub>, t<sub>1</sub>...t<sub>k</sub>,...)

$\alpha_1 \alpha_2 \alpha_3 \alpha_4 \alpha_5$		$\ldots \alpha_k \alpha_n$
--	--	----------------------------

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### $\alpha_k \alpha_5 \alpha_2 \alpha_1 \alpha_n$

- Deleting too many clauses makes the learning process useless
- However, identifying whether a clause will be useful in the future is a hard task!

#### The VSIDS measure

- Keeping clauses that are often and recently used in the conflict analysis process
- Dynamic measure
- A clause useful in the past will be useful again in the future!

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- Static measure
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#### The PSM measure

- Gives the number of literals assigned to false in the interpretation handled by *Progress Saving*
- Static measure
- Keeping clauses with a small PSM

# CDCL algorithm

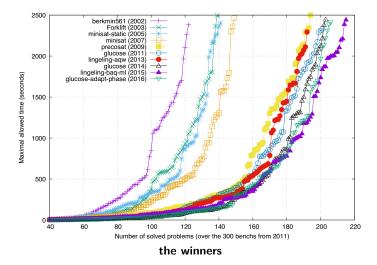
```
Input: a CNF formula \Sigma
   Output: SAT or UNSAT
 1 \Delta = \emptyset // learnt clauses database
 2 while (true) do
      if (!propagate()) then
 3
         if ((c = analyzeConflict()) == \emptyset) then return UNSAT;
 4
         \Delta = \Delta \cup \{c\};
 5
         if (timeToRestart() then backtrack to level 0;
 6
         else
 7
 8
            backtrack to the assertion level of c;
      else
 9
         \ell = \text{decide}();
10
         if (\ell == \text{null}) then return SAT;
11
         assert \ell in a new decision level:
12
        if (timeToReduce()) then clean(\Delta);
13
```

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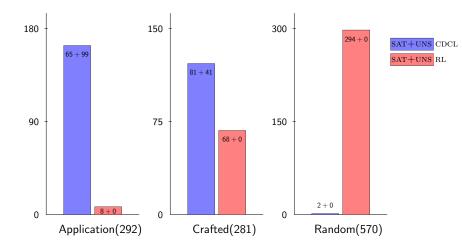
## About the Performance of $_{\rm SAT}$ Solvers

Since 2001



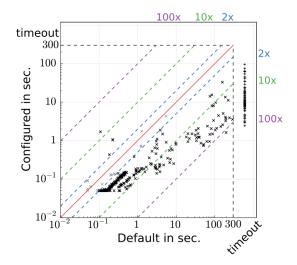
### About the Performance of SAT Solvers

CDCL SAT solvers are not efficient on all families



## About the Performance of ${\rm SAT}$ Solvers

CDCL SAT solvers use several constants impacting their efficiency



### ${}_{\rm SAT}$ Solving

### From SAT Solving to Top-Down Knowledge Compilation Introduction MODS DT FBDD decision-DNNF

Heuristics for Decomposition

### ${}_{\rm SAT}$ Solving

### From SAT Solving to Top-Down Knowledge Compilation Introduction MODS DT FBDD decision-DNNF

Heuristics for Decomposition

- ► SAT is NP-complete ⇒ in practice no guarantee to solve the instance within a short delay
- Compile the instance into a representation from a language

   *L* for which satisfiabily and more difficult issues (e.g. model counting) are easy
- Useful when the compilation effort can be balanced by considering sufficiently many queries sharing the same fixed part (pieces of information that are compiled)
- ▶ Which *L* to choose?
  - Use the knowledge compilation map!

### Decision or functions problems / properties of languages

- **CO** (consistency)
- CE (clause entailment: implicates)
- VA (validity)
- EQ (equivalence)
- SE (sentential entailment)
- IM (implicants)
- CT (model counting)
- ME (model enumeration)

# KC for Boolean Functions: Queries

#### Decision or functions problems / properties of languages

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# KC for Boolean Functions: Transformations

### Function problems / properties of languages

- CD (conditioning)
- $\land$  C ( $\land$ BC) (closure under  $\land$ )
- $\lor$  **C** ( $\lor$ **BC**) (closure under  $\lor$ )
- ¬C (closure under ¬)
- FO (SFO) (forgetting)

# KC for Boolean Functions: Transformations

### Function problems / properties of languages

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# The KC Map for Circ

- ► √ means that a polynomial-time algorithm exists for answering this query/making this transformation
- $\blacktriangleright$  o means that a polynomial-time algorithm does not exist for answering this query/making this transformation, unless  $P\neq NP$

$\mathcal{L}$	CO	VA	CE	IM	EQ	SE	СТ	ME
Circ	0	0	0	0	0	0	0	0

TABLE: Queries

$\mathcal{L}$	CD	FO	SFO	∧C	∧BC	VC	VBC	−C
Circ		0			$\checkmark$	$\checkmark$		$\checkmark$

TABLE : Transformations

L	<b>CO</b>	VA	CE	IM	EQ	SE	СТ	ME
Circ	0	0	0	0	0	0	0	0
CNF	0		0		0	0	0	0
DNF		0		0	0	0	0	
d-DNNF					?	0		$\checkmark$

TABLE: Queries

# Fragment of the KC Map: Transformations

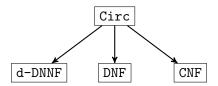
L	CD	FO	SFO	$\wedge \mathbf{C}$	∧BC	VC	VBC	−C
Circ		0						
CNF		0			$\checkmark$	0		0
DNF				0				0
d-DNNF		0	0	0	0	0	0	?

TABLE : Transformations

Succinctness captures the ability of a language to represent information using little space

- $\leq_s$  is polynomial-space translatability
- L<sub>1</sub> is at least as succinct as L<sub>2</sub>, denoted L<sub>1</sub> ≤<sub>s</sub> L<sub>2</sub>, iff there exists a polynomial p such that for every formula α ∈ L<sub>2</sub>, there exists an equivalent formula β ∈ L<sub>1</sub> where |β| ≤ p(|α|)
- $\leq_s$  is a **pre-order** over the subsets of Circ

### Succinctness Picture for some Languages



 $\mathrm{FIGURE}$  : Succinctness :  $\mathcal{L}_1 \to \mathcal{L}_2$  means that  $\mathcal{L}_1 <_{\mathsf{s}} \mathcal{L}_2$ 

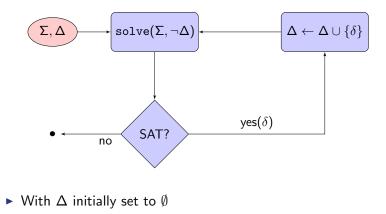
### $_{\rm SAT}$ Solving

### From SAT Solving to Top-Down Knowledge Compilation Introduction MODS DT FBDD decision-DNNF

Heuristics for Decomposition

## Enumerate all solutions using a SAT solver (MODS)

- A very simple way to compute the number of models of a propositional formula is to incrementally compute each of them
- To do so, we can easily use a SAT solver



L	<b>CO</b>	VA	CE	IM	EQ	SE	СТ	ME
Circ	0	0	0	0	0	0	0	0
CNF	0		0		0	0	0	0
DNF		0		0	0	0	0	
d-DNNF					?	0		
MODS								

TABLE: Queries

L	CD	FO	SFO	$\wedge \mathbf{C}$	∧BC	VC	VBC	−C
Circ		0			$\checkmark$		$\checkmark$	$\checkmark$
CNF		0	$\checkmark$		$\checkmark$	0	$\checkmark$	0
DNF			$\checkmark$	0				0
d-DNNF		0	0	0	0	0	0	?
MODS	$\checkmark$	0		0		0		0

TABLE : Transformations

The size of the representation is given by the number of models of the formula

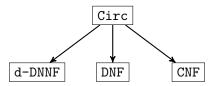
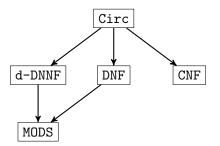


FIGURE : Succinctness :  $\mathcal{L}_1 \rightarrow \mathcal{L}_2$  means that  $\mathcal{L}_1 <_s \mathcal{L}_2$ 

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 $\mathrm{FIGURE}:$  Succinctness :  $\mathcal{L}_1 \rightarrow \mathcal{L}_2$  means that  $\mathcal{L}_1 <_{s} \mathcal{L}_2$ 

Can I compile efficiently the following formula into MODS?

$$\Sigma = \bigvee_{i=1}^n x_i$$

Can I compile efficiently the following formula into MODS?

$$\Sigma = \bigvee_{i=1}^n x_i$$

No!

•  $\Sigma$  has  $2^n - 1$  models

#### $_{\rm SAT}$ Solving

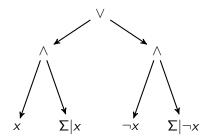
#### From SAT Solving to Top-Down Knowledge Compilation Introduction MODS DT FBDD decision-DNNF

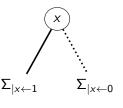
Heuristics for Decomposition

- When a SAT solver is used to solve a CNF instance Σ, it explores the search space of all interpretations until a model is found, if any
- The same search space needs to be considered for compiling Σ, except that the process should not stop when a model is found
- Consequently, we can take advantage of the trace of the solver for generating a compiled form

# Decision Tree (DT)

► Shannon Expansion:  $\Sigma \equiv (x \land \Sigma | x) \lor (\neg x \land \Sigma | \neg x)$ 



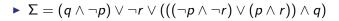


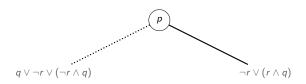
- DT is complete but is not succinct
- A decision tree for  $\Sigma$  can be seen as the joined representation of a deterministic DNF of  $\Sigma$  and a deterministic DNF of  $\neg \Sigma$

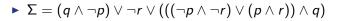
$$\blacktriangleright \Sigma = (q \land \neg p) \lor \neg r \lor (((\neg p \land \neg r) \lor (p \land r)) \land q)$$

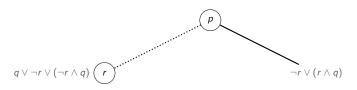
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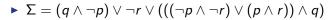
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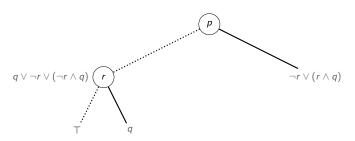


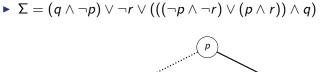


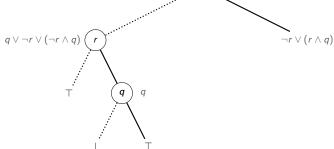


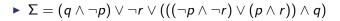


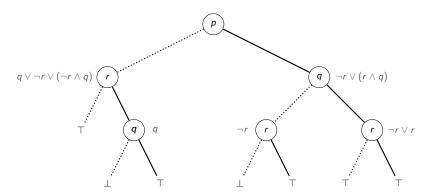




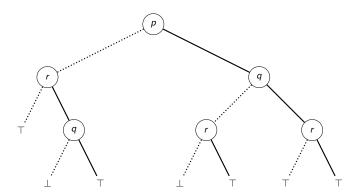








$$\blacktriangleright \Sigma = (q \land \neg p) \lor \neg r \lor (((\neg p \land \neg r) \lor (p \land r)) \land q)$$



 The size of the representation is the number of edges of the graph: |Σ| = 25

L	CO	VA	CE	IM	EQ	SE	СТ	ME
Circ	0	0	0	0	0	0	0	0
CNF	0		0		0	0	0	0
DNF		0		0	0	0	0	
d-DNNF					?	0		$\checkmark$
MODS								$\checkmark$
DT								$\checkmark$

TABLE: Queries

L	CD	FO	SFO	$\wedge \mathbf{C}$	$\wedge BC$	VC	VBC	$\neg C$
Circ		0			$\checkmark$		$\checkmark$	$\checkmark$
CNF		0	$\checkmark$			0	$\checkmark$	0
DNF			$\checkmark$	0			$\checkmark$	0
d-DNNF		0	0	0	0	0	0	?
MODS		0	$\checkmark$	0	$\checkmark$	0	$\checkmark$	0
DT		0		0		0		

 $TABLE: \mbox{Transformations}$ 

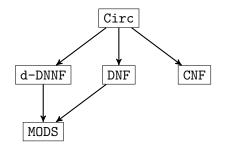
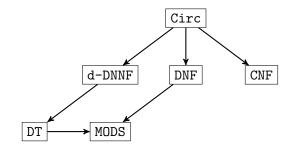


FIGURE : Succinctness :  $\mathcal{L}_1 \rightarrow \mathcal{L}_2$  means that  $\mathcal{L}_1 <_s \mathcal{L}_2$ 

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61/127



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61/127

How to represent the following Boolean function into DT?

$$\sum_{i=1}^n x_i \equiv 0 (mod \ 2)$$

How to represent the following Boolean function into DT?

$$\sum_{i=1}^n x_i \equiv 0 (mod \ 2)$$

- All the variables must be assigned to be able to decide whether the function evaluates to true
- So all the interpretations must be considered

#### ${}_{\rm SAT}$ Solving

#### From SAT Solving to Top-Down Knowledge Compilation Introduction MODS DT FBDD decision-DNNF

Heuristics for Decomposition

# Caching

Caching = sub-circuit sharing

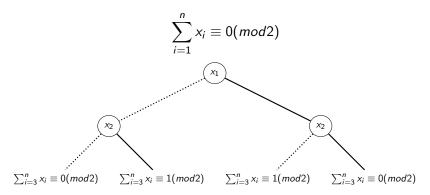
Let us consider again the previous example:

$$\sum_{i=1}^n x_i \equiv 0 (mod2)$$

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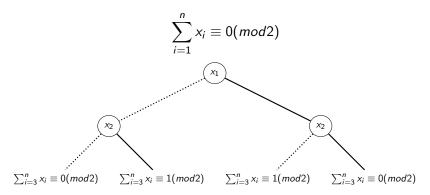


May the parity function be efficiently compiled using caching?

# Caching

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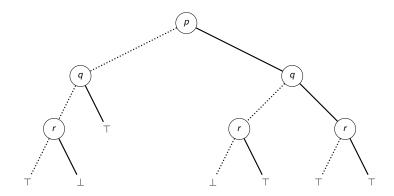
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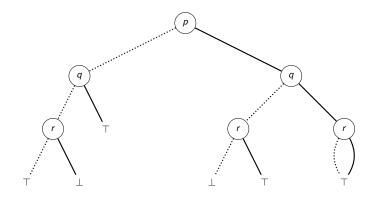
May the parity function be efficiently compiled using caching? Yes!

$$\blacktriangleright \Sigma = (q \land \neg p) \lor \neg r \lor ((((\neg p \land \neg r) \lor (p \land r)) \land q)$$

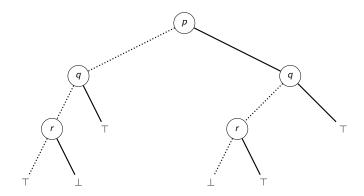
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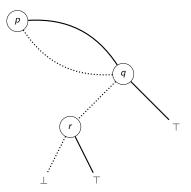
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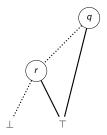
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$$\blacktriangleright \Sigma = (q \land \neg p) \lor \neg r \lor ((((\neg p \land \neg r) \lor (p \land r)) \land q))$$



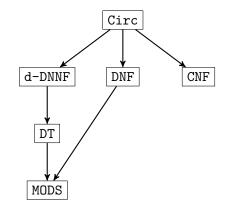
L	СО	VA	CE	IM	EQ	SE	СТ	ME
Circ	0	0	0	0	0	0	0	0
CNF	0		0		0	0	0	0
DNF		0		0	0	0	0	
d-DNNF					?	0		$\checkmark$
MODS								$\checkmark$
DT								
FBDD					?	0		

 $\label{eq:table} TABLE: Queries$ 

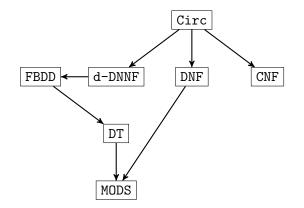
L	CD	FO	SFO	$\wedge \mathbf{C}$	∧BC	VC	VBC	<b>−</b> C
Circ		0	$\checkmark$		$\checkmark$		$\checkmark$	$\checkmark$
CNF		0				0		0
DNF				0				0
d-DNNF		0	0	0	0	0	0	?
MODS		0	$\checkmark$	0	$\checkmark$	0	$\checkmark$	0
DT		0		0		0		$\checkmark$
FBDD		0	0	0	0	0		$\checkmark$

TABLE : Transformations

#### Succinctness



 $\mathrm{FIGURE}:$  Succinctness :  $\mathcal{L}_1 \to \mathcal{L}_2$  means that  $\mathcal{L}_1 <_{s} \mathcal{L}_2$ 



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# Can we Turn a DT Compiler into an FBDD Compiler?

- Compiling into DT and then searching for identical sub-circuits to reduce it is impractical!
- ► Instead one stores in a map pairs (CNF, FBDD) consisting of all the CNF considered so far in the search, associated with their corresponding FBDD representation
- At each new decision node, the map is looked up to determine whether the current CNF has already been considered
- If so, one does not need to compile it again!
  - Is it practical to test the equivalence with the CNF formulas present in this map?

# Can we Turn a DT Compiler into an FBDD Compiler?

- Compiling into DT and then searching for identical sub-circuits to reduce it is impractical!
- ► Instead one stores in a map pairs (CNF, FBDD) consisting of all the CNF considered so far in the search, associated with their corresponding FBDD representation
- At each new decision node, the map is looked up to determine whether the current CNF has already been considered
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- If so, one does not need to compile it again!
  - Is it practical to test the equivalence with the CNF formulas present in this map?
  - No! coNP-complete
  - In practice, we replace equivalence by a stronger, yet more easy to decide, relation (identity up to the ordering of the clauses)

How to compile efficiently the following formula into an FBDD representation?

$$\bigwedge_{i=1}^n x_1^i \lor x_2^i \lor \ldots \lor x_n^i$$

How to compile efficiently the following formula into an FBDD representation?

$$\bigwedge_{i=1}^n x_1^i \lor x_2^i \lor \ldots \lor x_n^i$$

- Each clause must be compiled separately
- Branching heuristics for SAT are not suited to this objective!

#### ${}_{\rm SAT}$ Solving

#### From SAT Solving to Top-Down Knowledge Compilation Introduction MODS DT FBDD decision-DNNF

Heuristics for Decomposition

Let consider again the previous formula:

$$\bigwedge_{i=1}^n x_1^i \lor x_2^i \lor \ldots \lor x_n^i$$

- We can observe that the clauses do not share variables
- Can we separately compile the clauses and then aggregate them using an and node while offering model counting?

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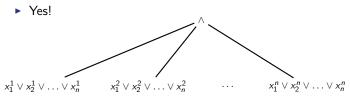
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Yes!

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- We can observe that the clauses do not share variables
- Can we separately compile the clauses and then aggregate them using an and node while offering model counting?



$$\blacktriangleright \Sigma = (\overline{x} \lor y \lor \overline{z}) \land (x \lor y \lor z) \land (y \lor t \lor u)$$

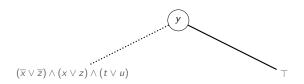
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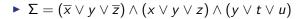
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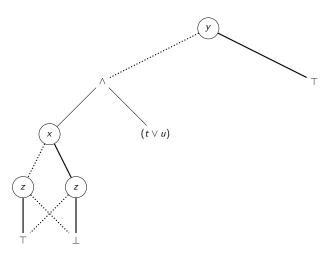
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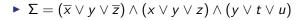
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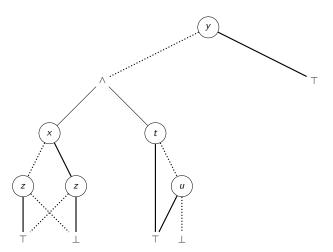


$$\Sigma = (\overline{x} \lor y \lor \overline{z}) \land (x \lor y \lor z) \land (y \lor t \lor u)$$









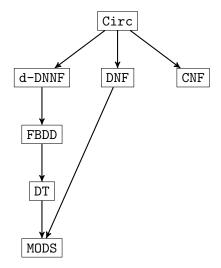
L	<b>C</b> 0	VA	CE	IM	EQ	SE	СТ	ME
Circ	0	0	0	0	0	0	0	0
CNF	0		0		0	0	0	0
DNF		0		0	0	0	0	
d-DNNF					?	0		$\checkmark$
MODS								
DT								
FBDD					?	0		
decision-DNNF					?	0		

TABLE : Queries

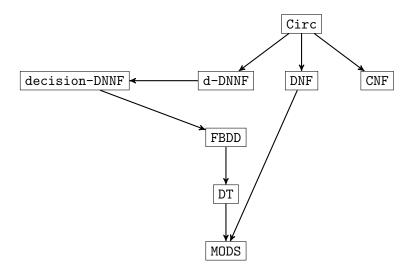
L	CD	FO	SFO	$\wedge \mathbf{C}$	∧BC	VC	VBC	−C
Circ	$\checkmark$	0	$\checkmark$		$\checkmark$		$\checkmark$	$\checkmark$
CNF		0	$\checkmark$			0		0
DNF			$\checkmark$	0				0
d-DNNF	$\checkmark$	0	0	0	0	0	0	?
MODS	$\checkmark$	0		0	$\checkmark$	0	$\checkmark$	0
DT		0		0		0		
FBDD		0	0	0	0	0		
decision-DNNF	$\checkmark$	0	0	0	0	0	0	?

TABLE : Transformations

## Succinctness



 $\mathrm{Figure}: \mathsf{Succinctness}: \mathcal{L}_1 \to \mathcal{L}_2$  means that  $\mathcal{L}_1 <_{\mathsf{s}} \mathcal{L}_2$ 



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#### SAT Solving

#### From SAT Solving to Top-Down Knowledge Compilation

#### Heuristics for Decomposition

Semantical vs. Syntactic Decompositions The Power of Decomposition Strategies for Finding Decompositions and Related Compilers

#### SAT Solving

#### From SAT Solving to Top-Down Knowledge Compilation

#### Heuristics for Decomposition

Semantical vs. Syntactic Decompositions The Power of Decomposition Strategies for Finding Decompositions and Related Compilers The Cartesian approach to problem solving: decomposing a problem into independent subproblems

- Need to design branching heuristics favoring the decomposition of the current CNF formula Σ (i.e., at the current decision node of the search tree) into (at least two) independent CNF formulae Σ<sub>1</sub>, Σ<sub>2</sub>
- $\blacktriangleright$  Independence means that no variable is shared between  $\Sigma_1$  and  $\Sigma_2$
- If a decomposition of  $\Sigma$  into  $\Sigma_1 \wedge \Sigma_2$  is found, a decomposable  $\wedge$ -node can be generated in the decision-DNNF representation of  $\Sigma$  one wants to build up

### Several types of decomposition can be envisioned

- semantical decomposition:  $\Sigma_1$  and  $\Sigma_2$  are any CNF such that  $\Sigma\equiv (\Sigma_1\wedge\Sigma_2)$
- syntactic decomposition: Σ<sub>1</sub> and Σ<sub>2</sub> are subformulae of Σ such that Σ ≡ (Σ<sub>1</sub> ∧ Σ<sub>2</sub>)

Every syntactic decomposition of  $\Sigma$  into  $\Sigma_1$  and  $\Sigma_2$  also is a semantical one, but not vice-versa

$$\Sigma = (a \lor b \lor c) \land (a \lor b \lor \overline{c}) \land (c \lor d)$$

semantical decomposition: Σ is equivalent to

$$\underbrace{(a \lor b)}_{\Sigma_1} \land \underbrace{(c \lor d)}_{\Sigma_2}$$

• syntactic decomposition: there is no syntactic decomposition of  $\Sigma$ , but the semantical decomposition above is a syntactic decomposition of the CNF  $\Sigma' = (a \lor b) \land (c \lor d)$  which is equivalent to  $\Sigma$ 

## Semantical Decomposition

- Guessing  $\Sigma_1$ ,  $\Sigma_2$  and checking that  $\Sigma \equiv (\Sigma_1 \land \Sigma_2)$  would be prohibitive!
- Fortunately, guessing subsets of variables of  $\Sigma$  is enough
- Σ<sub>1</sub> ∧ Σ<sub>2</sub> is a (nontrivial) semantical decomposition of Σ if and only if there exists an (ordered) bipartition (X<sub>1</sub>, X<sub>2</sub>) of Var(Σ) such that Var(Σ<sub>1</sub>) ⊆ X<sub>1</sub>, Var(Σ<sub>2</sub>) ⊆ X<sub>2</sub>,

$$\Sigma_1 \equiv \exists X_2.\Sigma, \Sigma_2 \equiv \exists X_1.\Sigma, \text{ and } \Sigma_1 \wedge \Sigma_2 \models \Sigma$$

 Such a bipartition (X<sub>1</sub>, X<sub>2</sub>) induces a semantical decomposition of Σ

$$\Sigma = (a \lor b \lor c) \land (a \lor b \lor \overline{c}) \land (c \lor d)$$

• (X<sub>1</sub>, X<sub>2</sub>) with X<sub>1</sub> = {a, b} and X<sub>2</sub> = {c, d} induces a semantical decomposition of Σ

$$\blacktriangleright \exists X_1.\Sigma \equiv c \lor d$$

$$\blacktriangleright \exists X_2.\Sigma \equiv a \lor b$$

• 
$$(a \lor b) \land (c \lor d) \models \Sigma$$

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- In order to generate a bipartition (X<sub>1</sub>, X<sub>2</sub>) inducing a semantical decomposition of Σ, one must be able to decide for each x ∈ Var(Σ) whether x should be put in X<sub>1</sub> or in X<sub>2</sub>
- x and y must be put in the same set whenever there exists a prime implicate of Σ which contains them both (as variables)
- Determining whether Σ has a prime implicate containing both x and y is Σ<sub>2</sub><sup>p</sup>-complete
- Calling a Σ<sub>2</sub><sup>p</sup> oracle at every decision node of the search tree is too much demanding in practice

- Once a semantical decomposition (X<sub>1</sub>, X<sub>2</sub>) has been found, we are not done: variable elimination must be applied to turn each of ∃X<sub>1</sub>.Σ and ∃X<sub>2</sub>.Σ into equivalent CNF formulae
- ► Variable elimination is expensive as well in general
- $\Rightarrow$  Look for syntactic decompositions, only

- Use BFS of the primal graph of the current CNF Σ to determine whether it has several (disjoint) connected components (feasible in linear time in the size of Σ)
- Σ has a syntactic decomposition if and only if the number of connected components is at least 2

• Back to the example: 
$$\Sigma' = (a \lor b) \land (c \lor d)$$

## Generating a Syntactic Decomposition

- What if Σ has no syntactic decomposition?
- Assigning some variables X<sub>1</sub> of Σ to create such a decomposition
- Let  $\Sigma$  be a CNF. A syntactic decomposition scheme of  $\Sigma$  is a 3-splitting  $(X_1, X_2, X_3)$  of  $Var(\Sigma)$  such that for every canonical term  $\gamma_1$  over  $X_1$ , the CNF formula  $\Sigma \mid \gamma_1$  has a syntactic decomposition  $\Sigma_2^{\gamma_1} \wedge \Sigma_3^{\gamma_1}$ , where  $Var(\Sigma_2^{\gamma_1}) \subseteq X_2$  and  $Var(\Sigma_3^{\gamma_1}) \subseteq X_3$
- N.B. 3-splitting = 3-partition except that the sets can be empty

# From a Syntactic Decomposition Scheme to a decision-DNNF Representation

If  $(X_1, X_2, X_3)$  is a syntactic decomposition scheme of  $\Sigma$ , then  $\bigvee_{\gamma_1 \text{ canonical term over} X_1} (\gamma_1 \wedge \textit{decision-DNNF}(\Sigma_2^{\gamma_1}) \wedge \textit{decision} - \text{DNNF}(\Sigma_3^{\gamma_1}))$ 

is a d-DNNF of  $\Sigma$  which corresponds to a decision-DNNF of it (viewing each  $\gamma$  as a path of a decision tree), noted

 $ite(\gamma_1 \text{ canonical term over } X_1, decision-DNNF(\Sigma_2^{\gamma_1}) \land decision-DNNF(\Sigma_3^{\gamma_1}))$ 

## Back to the Example

$$\Sigma = (a \lor b \lor c) \land (a \lor b \lor \overline{c}) \land (c \lor d)$$

$$\Sigma \mid (\bar{b} \land \bar{c}) = \underbrace{a}_{\Sigma_{2}^{(\bar{b} \land \bar{c})}} \land \underbrace{d}_{\Sigma_{3}^{(\bar{b} \land \bar{c})}}$$

$$\Sigma \mid (\bar{b} \land c) = \underbrace{a}_{\Sigma_{2}^{(\bar{b} \land c)}} \land \underbrace{\top}_{\Sigma_{3}^{(\bar{b} \land c)}}$$

$$\Sigma \mid (b \land \bar{c}) = \underbrace{\top}_{\Sigma_{2}^{(b \land \bar{c})}} \land \underbrace{d}_{\Sigma_{3}^{(b \land \bar{c})}}$$

$$\Sigma \mid (b \land c) = \underbrace{\top}_{\Sigma_{2}^{(b \land \bar{c})}} \land \underbrace{\top}_{\Sigma_{3}^{(b \land \bar{c})}}$$

## A Decision-DNNF Representation of $\Sigma$

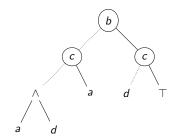
A decision-DNNF

 $ite(\gamma_1 \text{ canonical term over } X_1, decision-DNNF(\Sigma_2^{\gamma_1}) \land decision-DNNF(\Sigma_3^{\gamma_1}))$ 

associated with the syntactic decomposition scheme of  $\Sigma$  given by

$$(X_1 = \{b, c\}, X_2 = \{a\}, X_3 = \{d\})$$

is



## Another Syntactic Decomposition Scheme

$$\Sigma = (a \lor b \lor c) \land (a \lor b \lor \bar{c}) \land (c \lor d)$$

$$\Sigma \mid \bar{c} = \underbrace{(a \lor b)}_{\Sigma_2^{\bar{c}}} \land \underbrace{d}_{\Sigma_3^{\bar{c}}}$$
$$\Sigma \mid c = \underbrace{(a \lor b)}_{\Sigma_2^{c}} \land \underbrace{\top}_{\Sigma_3^{c}}$$

## Another Decision-DNNF Representation of $\Sigma$

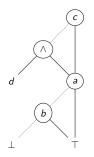
#### A decision-DNNF

 $ite(\gamma_1 \text{ canonical term over } X_1, decision-DNNF(\Sigma_2^{\gamma_1}) \land decision-DNNF(\Sigma_3^{\gamma_1}))$ 

associated with the syntactic decomposition scheme of  $\boldsymbol{\Sigma}$  given by

$$(X_1 = \{c\}, X_2 = \{a, b\}, X_3 = \{d\})$$

is



- ► Every CNF Σ has a syntactic decomposition scheme: (Var(Σ), Ø, Ø)
- This one leads to a compiled representation of Σ as a decision-DNNF which boils down to a decision tree or to an FBDD representation if caching is exploited!
- ▶ Better syntactic decomposition schemes (i.e., with decomposable ∧-nodes, leading to "smaller" decision-DNNF compiled forms) are sought for

#### SAT Solving

#### From SAT Solving to Top-Down Knowledge Compilation

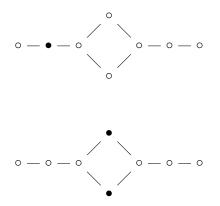
#### Heuristics for Decomposition

Semantical vs. Syntactic Decompositions The Power of Decomposition Strategies for Finding Decompositions and Related Compilers Consider a syntactic decomposition scheme of  $\Sigma$ :  $(X_1, X_2, X_3)$  such that  $\#(X_i) = x_i \ (i \in \{1, ..., 3\})$ 

- Suppose that every decision-DNNF representation of Σ has a size which is a fraction k (0 < k ≤ 1) of the search space of all interpretations explored for generating it (which implies that the corresponding compilation time will be at least as high)</p>
- ► The size of a decision-DNNF representation of  $\Sigma$  will be  $2^{x_1} \times (k \times 2^{x_2} + k \times 2^{x_3})$
- ▶  $2^{x_1} \times (k \times 2^{x_2} + k \times 2^{x_3}) < k \times 2^{x_1 + x_2 + x_3}$  unless  $x_2 \le 2$  and  $x_3 \le 2$
- ⇒ This explains why introducing decomposable ∧-nodes (and not only decision nodes) in the compiled form is useful

- The syntactic decomposition scheme (X<sub>1</sub>, X<sub>2</sub>, X<sub>3</sub>) of Σ leads to a decision-DNNF of Σ which is as small as
  - x<sub>1</sub> is small
  - ►  $x_2$  is close to  $x_3$ :  $x_2^* = \lfloor \frac{x_2+x_3}{2} \rfloor$  and  $x_3^* = \lceil \frac{x_2+x_3}{2} \rceil$  minimize the value of  $2^{x_2} + 2^{x_3}$  when the sum  $x_2 + x_3$  is fixed
- An efficient syntactic decomposition scheme (X<sub>1</sub>, X<sub>2</sub>, X<sub>3</sub>) of Σ is one minimizing the two criteria (size of the cut set, balance of the decomposition) when possible

# The Two Criteria are Antagonistic!



 $\Rightarrow$  Trade-offs must be looked for! One typically relaxes the second optimality criterion by asking only that the two disjoint components forming the decomposition have approximately the same cardinal

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# Complexity of Finding out "Good" Syntactic Decomposition Schemes

Finding a minimal cut X<sub>1</sub> of the primal graph of Σ can be achieved in polynomial time (e.g. using Stoer-Wagner algorithm which is in time O(|V||E| + |V|<sup>2</sup>log<sub>2</sub>|V|))

Adding a balance constraint

$$|\#(X_2) - \#(X_3)| \le \alpha$$

where  $\alpha$  is a constant, renders the problem NP-hard

⇒ How to maintain small enough in practice the complexity of finding out "good" syntactic decomposition schemes?

## $\operatorname{SAT}$ Solving

## From SAT Solving to Top-Down Knowledge Compilation

#### Heuristics for Decomposition Semantical vs. Syntactic Decompositions The Power of Decomposition Strategies for Finding Decompositions and Related Compilers Lazy Decomposition: Dsharp Global Decomposition: C2D Local Decomposition: D4

# Several Strategies can be Considered

- 1. Using state-of-the-art branching heuristics for SAT and detecting decompositions in a lazy fashion
- 2. Relaxing the optimality criteria for syntactic decompositions scheme (use local search techniques for graph partitioning)
- 3. Avoiding to compute a syntactic decomposition scheme at each decision node
  - a. Prior to the compilation of  $\Sigma$ , compute a decomposition tree (dtree) for guiding the decompositions
  - b. Use a graph partitioner sparingly during the compilation process on a simplified graph, taking advantage of in-processing techniques (especially literal equivalence) on  $\Sigma$
- Compilers:
  - The Dsharp compiler is based on 1.
  - The C2D compiler is based on 2., and 3.a.
  - The D4 compiler is based on 2., and 3.b.

## $\operatorname{SAT}$ Solving

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- In the SAT case and in the compilation case, the smaller the search tree the better
- To detect conflicts as soon as possible, SAT solvers take advantage of look-back branching heuristics
- Hence it makes sense to use such heuristics in the compilation case
- VSADS is a look-back branching heuristics that is based on VSIDS and the number of occurrences of the variables in the clauses

#### Algorithm 3: $Dsharp(\Sigma)$

input : a CNF formula  $\Sigma$ output: the root node *N* of a decision-DNNF representation of  $\Sigma$ 

1 
$$S \leftarrow solve(\Sigma);$$

- 2 if  $S = \{\emptyset\}$  then return leaf( $\bot$ );
- $3 \text{ if } Var(\Sigma) = \emptyset \text{ then return aNode}(S, [leaf(\top)]);$
- 4 if  $cache(\Sigma) \neq nil$  then return  $aNode(S, [cache(\Sigma)]);$
- **5** *comps*  $\leftarrow$  connectedComponents( $\Sigma$ );
- 6  $LN_d \leftarrow [];$
- 7 for each  $c \in \textit{comps}$  do

8 
$$v \leftarrow \mathsf{VSADS}(Var(c));$$

- 9  $N_d \leftarrow ite(v, \text{Dsharp}(c|\neg v), \text{Dsharp}(c|v));$
- 10  $LN_d \leftarrow \operatorname{add}(N_d, LN_d);$
- 11  $N_{\wedge} \leftarrow aNode(S, LN_d);$
- 12 cache $(\Sigma) \leftarrow N_{\wedge};$
- 13 return  $N_{\wedge}$

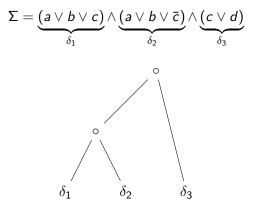
## $\operatorname{SAT}$ Solving

## From SAT Solving to Top-Down Knowledge Compilation

## Heuristics for Decomposition Semantical vs. Syntactic Decompositions The Power of Decomposition Strategies for Finding Decompositions and Related Compilers Lazy Decomposition: Dsharp Global Decomposition: C2D Local Decomposition: D4

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A decomposition tree (dtree) for a CNF  $\Sigma$  is a full binary tree, with leaves in one-to-one correspondance with the clauses of  $\Sigma$ 



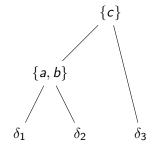
## Cutsets

Each internal node of a dtree is associated with a cutset

$$\Sigma = \underbrace{(a \lor b \lor c)}_{\delta_1} \land \underbrace{(a \lor b \lor \bar{c})}_{\delta_2} \land \underbrace{(c \lor d)}_{\delta_3}$$

For every internal node N, let N' and N' its two children

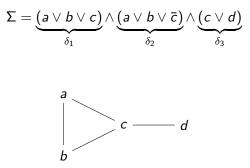
- $Var(N) = Var(N') \cup Var(N')$
- $Cutset(N) = (Var(N') \cap Var(N')) \setminus AncCutset(N)$
- ► AncCutset(N) = U<sub>N'</sub> ancestor of N Cutset(N')



#### Dtrees can be computed in various ways:

- in a bottom-up way, starting with an elimination ordering (i.e., a strict, total ordering < over Var(Σ))</li>
- several heuristics exist for determining an elimination ordering leading to "good" decompositions
  - min-degree: order the variables of Σ in an ascending way w.r.t. their incidence degree in the primal graph of Σ
  - min-fill: order the variables of  $\Sigma$  in an ascending way w.r.t. their number of neighbors which are not pairwise connected in the primal graph of  $\Sigma$
- in a top-down way, using a graph partitioner

## Back to the Example: Heuristics



Min-degree and min-fill leads to the same ordering for this example:

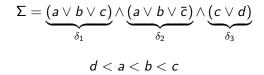
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108/127

Algorithm 4: dtree-bu( $\Sigma$ , <) input : a CNF formula  $\Sigma$  and an elimination ordering < over  $Var(\Sigma)$ output: a dtree dt for  $\Sigma$ 1  $\mathsf{F} \leftarrow \{\delta_i \in \Sigma\};\$ 2 Var  $\leftarrow$  Var( $\Sigma$ ); **3** while  $Var \neq \emptyset$  do  $v \leftarrow head(Var, <);$ gather every dtree of F with a leaf containing v into a single dtree; remove v from Var 4 Gather every dtree of F into a single dtree dt;

5 return dt

## Back to the Example

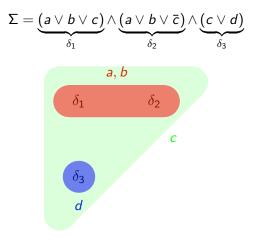




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# In a Top-Down Way

One exploits a graph partitioner for finding a cutset in the dual hypergraph of  $\Sigma$  (if possible, a cutset of "small size" leading to a balanced decomposition)



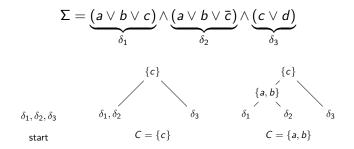
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111/127

## In a Top-Down Way: A Pseudo-Code of dtree-td

**Algorithm 5**: dtree-td( $\Sigma$ ) input : a CNF formula  $\Sigma$ output: the root N of a dtree for  $\Sigma$ 1 if  $\Sigma$  has a decomposition  $\Sigma_1 \wedge \Sigma_2$  then  $N \leftarrow node(\emptyset, dtree - td(\Sigma_1), dtree - td(\Sigma_2))$ 2 else while there exist two distinct clauses connected by a hyperedge in the dual hypergraph of  $\Sigma$  do  $C \leftarrow \text{HGP}(\Sigma);$  $\Sigma_1 \gets \text{one connected component of } \Sigma \text{ simplified by}$ removing from its clauses all the variables from C;  $\Sigma_2 \leftarrow$  the union of the other connected components of  $\Sigma$ simplified by removing from its clauses all the variables from C:  $N \leftarrow node(C, dtree-td(\Sigma_1), dtree-td(\Sigma_2));$ 3 return N

## Back to the Example



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113/127

Algorithm 6:  $C2D(\Sigma, N)$ 

input : a CNF formula  $\Sigma$  and the root N of a dtree dt for  $\Sigma$  output: the root M of a decision-DNNF representation of  $\Sigma$ 

 $1 \hspace{0.1in} \mathsf{S} \gets \mathsf{solve}(\Sigma);$ 

- 2 if  $S = \{\emptyset\}$  then return leaf( $\bot$ );
- 3 if  $Var(\Sigma) = \emptyset$  then return  $aNode(S, [leaf(\top)]);$
- 4 if  $cache(\Sigma) \neq nil$  then return  $aNode(S, [cache(\Sigma)])$ ;
- ${\bf 5}\,$  if  ${\it N}$  reduces to a leaf node labelled by  $\delta$  then

 $\begin{tabular}{ll} \begin{tabular}{ll} return a decision-DNNF representation of $\delta$ \\ \end{tabular}$ 

else

$$\begin{array}{l} C \leftarrow label(N); \\ M \leftarrow ite(\gamma_1 \text{ canonical term over } C, \operatorname{C2D}(\Sigma \mid \gamma_1, N^2), \operatorname{C2D}(\Sigma \mid \gamma_1, N^3)); \\ /* (C, Var(N^2), Var(N^3)) \text{ is by construction a syntactic} \\ \text{ decomposition scheme of } \Sigma & */ \\ \operatorname{cache}(\Sigma) \leftarrow M; \end{array}$$

6 return M

## $\operatorname{SAT}$ Solving

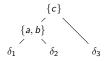
## From SAT Solving to Top-Down Knowledge Compilation

#### Heuristics for Decomposition Semantical vs. Syntactic Decompositions The Power of Decomposition Strategies for Finding Decompositions and Related Compilers Lazy Decomposition: Dsharp Global Decomposition: C2D Local Decomposition: D4

Research School on Knowledge Compilation, ENS Lyon, December 4th-8th, 2017

# Static vs. Dynamic Decomposition

- When a dtree is computed first for finding out the cutsets leading to decompositions, the same cutsets are considered whatever their ancestor cutsets (hence whatever the assignments γ of their variables)
- $\blacktriangleright$  The CNF formula conditioned by  $\gamma$  which results at the current decision node of the search tree is not considered



 $\{a, b\}$  is considered as a cutset whatever c has been assigned to true or to false

- Pros: No need to call a hypergraph partitioner for every assignment γ of the variables from the ancestor cutset (this is an expensive operation)
- Cons: Σ | γ may heavily vary depending on γ, so that better syntactic decomposition schemes could be obtained if the assignments themselves were taken into account

#### ► D4: a Decision-DNNF compiler based on Dynamic Decomposition

- Input: a CNF formula Σ
- Output: a decision-DNNF representation equivalent to the input
- D4 is a top-down compiler which generates a Decision-DNNF representation by following the trace of a SAT solver
- D4 is based on the same ingredients as the previous compilers C2D and Dsharp: disjoint component analysis, conflict analysis and non-chronological backtracking, component caching

- The variable selection heuristics is dynamic like Dsharp (and unlike C2D)
- It is based on a partitioning of the dual hypergraph of the input CNF like C2D (and unlike Dsharp)
- Two new features:
  - hypergraph partitioning (based on the PaToH partitioner) is used sparingly and during the search for finding decompositions
  - a set of simplification rules are also used to minimize the time spent in the partitioning steps and to promote the quality of the decompositions

# A Pseudo-Code of D4

Algorithm 7: D4( $\Sigma$ , LV)

input : a CNF formula  $\Sigma$  and a list of variables LV (empty at start) output: the root node N of a decision-DNNF representation of  $\Sigma$ 

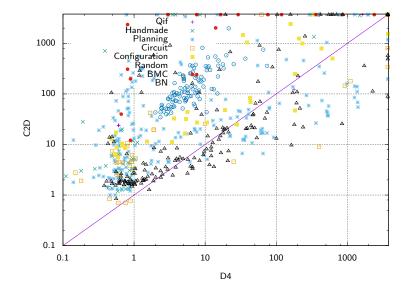
```
1 S \leftarrow solve(\Sigma);
 2 if S = \{\emptyset\} then return leaf(\bot);
 3 if Var(\Sigma) = \emptyset then return aNode(S, [leaf(\top)]);
 4 if cache(\Sigma) \neq nil then return aNode(S, [cache(\Sigma)]);
 5 comps \leftarrow connectedComponents(\Sigma);
 6 LN_d \leftarrow [];
 7 foreach c \in comps do
        LV_c \leftarrow restrict(LV, Var(c));
 8
       if LV_c = \emptyset or \#(Var(S) \cap Var(c)) > \frac{1}{10} \#(Var(c)) then
 9
        | LV_c \leftarrow \text{sort}(\text{HGP}(c));
      v \leftarrow head(LV_c);
10
      LV_c \leftarrow tail(LV_c);
11
       N_d \leftarrow ite(v, D4(c|\neg v, LV_c), D4(c|v, LV_c));
12
13
      LN_d \leftarrow add(N_d, LN_d);
14 N_{\wedge} \leftarrow aNode(S, LN_d);
15 cache(\Sigma) \leftarrow N_{\wedge};
16 return N_{\wedge}
```

# Improving the Hypergraph Partitioning Steps

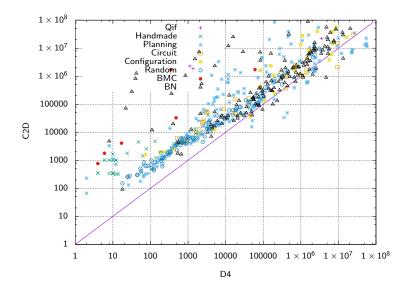
- We avoid calling HGP at each recursion step or each time a decision node must be generated
- We designed some specific rules which are used inside HGP and aim at simplifying the hypergraph associated with the current formula before calling PaToH on it
- The simplification achieved can also lead PaToH to find better decompositions
  - we exploit an algorithm for the detection of literal equivalences based on BCP (more details on Wednesday!)
  - we simplify the dual hypergraph of the resulting formula, removing some useless nodes and hyperedges

- ► 703 CNF instances from the SAT LIBrary
- 8 data sets: BN (Bayesian networks) (192), BMC (Bounded Model Checking) (18), Circuit (41), Configuration (35), Handmade (58), Planning (248), Random (104), Qif (7) (Quantitative Information Flow analysis - security)
- Experiments conducted on Intel Xeon E5-2643 (3.30 GHz) processors with 32 GiB RAM on Linux CentOS
- A time-out of 1h and a memory-out of 7.6 GiB has been considered for each instance

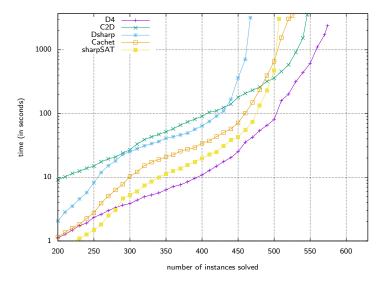
## Comparison with C2D (compilation times)



## Comparison with C2D (sizes of the compiled forms)



## D4 as a Model Counter



- A. Darwiche. Decomposable negation normal form. Journal of the ACM, 48(4):608–647, 2001.
- A. Darwiche. New advances in compiling cnf into decomposable negation normal form. ECAI'04, pages 328–332, 2004.
- J.-M. Lagniez, and P. Marquis. An Improved Decision-DNNF Compiler. IJCAI'17, pages 667-673, 2017.

## Top-Down Knowledge Compilation

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